

07-03-06

3731

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: KAPLAN et al. Examiner: WOO  
Serial No.: 10/007,364 Group Art Unit: 3731  
Filing Date: NOVEMBER 15, 2001 Docket: 20043.3USD4  
Confirmation No.: 3762 Due: JULY 6, 2006  
Title: METHODS AND APPARATUS FOR TRANSPERICARDIAL LEFT ATRIAL APPENDAGE CLOSURE

CERTIFICATE UNDER 37 CFR 1.10:

"Express Mail" mailing label number: EV 858803949 US

Date of Deposit: JUNE 30, 2006

I hereby certify that this paper or fee is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to MSAMENDMENT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on JUNE 30, 2006.

By:   
Name: ABBIE LARKIN

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

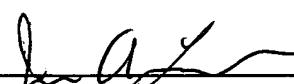
Sir:

We are transmitting herewith the attached:

- Transmittal sheet, in duplicate, containing Certificate under 37 CFR 1.10.
- Supplemental Information Disclosure Statement, Form 1449, 2 reference(s).
- Other: copy of EP Search Report mailed April 6, 2006.
- Return postcard

Please charge any additional fees or credit overpayment to Deposit Account No. 50-3478. A duplicate of this sheet is enclosed.

Hamre, Schumann, Mueller & Larson, P.C.  
P.O. Box 2902-0902 Minneapolis, MN 55402  
612.455-3800

By:   
Name: James A. Larson  
Reg. No. 40,443  
Initials: JAL:nel

52835

PATENT TRADEMARK OFFICE



10/007364

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	KAPLAN, et al.	Examiner:	WOO, JULIAN W.
Serial No.:	10/007364	Group Art Unit:	3731
Filed:	November 15, 2001	Docket No.:	20043.0003USD4
Title:	METHODS AND APPARATUS FOR TRANSPERICARDIAL LEFT ATRIAL APPENDAGE CLOSURE		

CERTIFICATE UNDER 37 CFR 1.10:

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By:   
Name: ABBIE LARKIN

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT (37 C.F.R. § 1.97(c))

Mail Stop: AMENDMENT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner. The references were recently cited in a Search Report mailed April 6, 2006 for the corresponding EP application (copy enclosed). Please note that U.S. 5,865,791 was already cited in a previous Information Disclosure Statement.

This statement should be considered because it is submitted after the mailing date of a first Office Action on-the-merits or a first Office Action after filing a Request for Continued Examination under 37 C.F.R. § 1.114 or a CPA under 37 C.F.R. § 1.53(d), but before the mailing date of: i) a final action under 37 C.F.R. § 1.113; ii) a Notice of Allowance under 37 C.F.R. § 1.311; or iii) an action that otherwise closes prosecution on the application. No fee is due as this statement is submitted as certified below under 37 C.F.R. § 1.97(e)(1) or (2) by the undersigned.

*Certification Under 37 C.F.R. §1.97(e)(1)*

In accordance with 37 C.F.R. §1.97(c) or §1.97(d), the undersigned hereby certifies that each item of information listed on the enclosed Form 1449 was first cited in a communication from a foreign patent office in a counterpart foreign application within three months of filing this statement.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

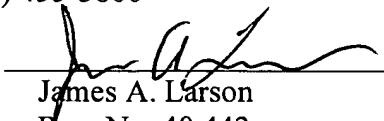
Please charge any additional fees or credit any overpayment to Deposit Account No. 50-3478.

Respectfully submitted,



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Dated: June 30, 2006

By:   
James A. Larson  
Reg. No. 40,443  
JAL/nel

<b>FORM 1440*</b> <b>SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT</b> <b>IN AN APPLICATION</b> (Use several sheets if necessary)	Docket Number:	Application Number:
	20043.3USD4	10/007,364
	Applicant: KAPLAN et al.	
	Filing Date: NOVEMBER 15, 2001	Group Art Unit: 3731

## U.S. PATENT DOCUMENTS

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	98/17187	1998.4.30	WIPO				

**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, Etc.)

PUBLISHED DOCUMENTS (including Authors, Title, Date, Edition/Volume, Etc.)		

EXAMINER	DATE CONSIDERED
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.	